

**“INDIVIDUAL”
NOTICE OF MORTGAGE LOAN OFFICER/BROKER
REGISTRATION EXPIRATION**

PLEASE READ CAREFULLY AND COMPLETE ATTACHED APPLICATION

Pursuant to Kentucky Revised Statutes Chapter 294.260(1), beginning July 1, 2004, all **REGISTERED** mortgage loan brokers and **REGISTERED** loan officers shall complete at least twelve (12) hours of continuing professional education, a minimum of six (6) of which must be classroom hours, by June 30, 2005, **and annually thereafter**. Paragraph (2) - Up to twelve (12) hours of continuing professional education may be carried forward from one (1) continuing year to the next continuing education year. The continuing education year shall begin on July 1 and end on June 30 of the following year.

A registration may be renewed by filing the following items with this Office:

- a) \$50.00 registration fee (pursuant to KRS Chapter 294.255(2)(d) – Please make check payable to **Kentucky State Treasurer**.
- b) Proof of completion of the required 12 hours of continuing education
- c) The attached Registration Renewal Form

The renewal registration form, proof of continuing education, and payment must be received by this Office on or before June 20, of the current year, prior to the June 30 expiration date. Failure to comply by this date will result in the expiration of your registration. (Please be reminded that if a registration is suspended, revoked or has expired, you are refrained from doing any further Kentucky loans or you shall be subject to the penalties of KRS 294.990 and shall be considered to be in violation of KRS 367.170.) The Commissioner MAY reinstate a registration if the Individual submits the above required documents and fee PLUS a reinstatement fee in the amount of two hundred fifty dollars (\$250).

Please submit the required information/fees and direct any questions regarding this
Renewal to:

**Pam Fitzgerald
Office of Financial Institutions
1025 Capital Center Drive, Suite 200
Frankfort, Kentucky 40601
(800) 223-2579
Fitzgerald.Pam@ky.gov
www.dfi.ky.gov**

“RENEWAL”

**KENTUCKY OFFICE OF FINANCIAL INSTITUTIONS
1025 CAPITAL CENTER DRIVE, SUITE 200
FRANKFORT, KENTUCKY 40601**

www.dfi.ky.gov

502-573-3390

**RENEWAL OF A MORTGAGE LOAN OFFICER OR
MORTGAGE LOAN BROKER INDIVIDUAL REGISTRATION**

Name: _____

Social Security Number: _____

Complete RESIDENTIAL address of Registrant: (street, city, state, zip code)

Telephone Number(s) - WORK: _____

HOME: _____

CELL: _____

Current Employer (company name): _____

Have you ever been suspended, denied, or revoked by any other state? _____

If “Yes”, please attach explanation of any action and current status in that state.

Have you been convicted or indicted of any felony since June 30, 2004? _____

If “Yes”, please attach explanation of criminal offense and disposition of the case.

Have you been convicted or indicted of any misdemeanor involving fraud since June 30, 2004? _____

If “Yes”, please attach explanation of criminal offense and disposition of the case.

*****Please submit a) this registration form, b) a check for \$50 made payable to the Kentucky State Treasurer and c) copies of your continuing education certificates, to the above address.**

I hereby certify that I have truthfully completed this renewal application.

Registrant’s Signature